

Beazley | Directors & Officers

Please provide with this proposal form the most recent copies of the following documents:

- Proposer’s audited annual report and accounts
- Organisation structure chart
- Any financials filed with the US Securities and Exchange Commission
- Any offering document/listing particulars published in the last three years
- Any supplementary information which is material to the response of the questions

Proposer information

1. Name of the Proposer:

2. Name of the parent company (if applicable):

3. Principal address:

4. Website address:

5. Date of establishment: dd/mm/yyyy / /

6. Please state the total assets from the latest audited financial report:

7. Please provide the approximate geographical split of total assets:

	Percentage split
Africa	%
Arab States	%
Asia	%
Canada	%
Caribbean	%
Central and Eastern Europe	%
South America	%
Rest of World	%
Western Europe	%
UK	%
USA	%
Total	100%

Ownership information

8. Please state:
- a. total number of shareholders:
 - b. total number of shares issued:
 - c. total number of shares held by directors (both direct and beneficial):
9. a. Are equity securities of the Proposer traded on a stock exchange? Yes No
 If 'Yes', please provide details of the stock exchange(s) and ticker symbol(s):
- b. How much of issued share capital forms part of the free float?
- c. Are there any shareholders who own 10% or more of the issued shares: Yes No
 If 'Yes', please provide details:
10. a. Are any equity securities traded in the USA? Yes No
 If 'Yes', please state the type of listing:
 Level 1 sponsored
 Level 1 unsponsored
 Level 2
 Level 3
 Full listing
- b. Please state the percentage of market capitalisation that is traded in the USA? %
11. Does the Proposer have any acquisition or merger pending or intend a new public offering of equity securities within the next 12 months? Yes No
 If 'Yes', please provide details:

Claims information

12. Please read the following statements and confirm as indicated below:

- a. No claim, proceeding or demand has been made or brought against the Proposer or any of its directors, officers or employees in the last five years (whether insured or not);
- b. The Proposer or any of its directors, officers or employees have not been the subject of any regulatory, administrative or governmental investigation in the last five years (whether insured or not);
- c. The Proposer, after enquiry, is not aware of any act, error, omission, event, circumstance or incident which may give rise to a claim, proceeding or demand or any regulatory, administrative or governmental investigation.

All the statements above are true and accurate:

Yes No

If 'No', please provide details below or on a separate sheet:

Important notice

Before commencement of any insurance policy, the Proposer is required to make a fair presentation of the risk in accordance with the Insurance Act 2015.

The Proposer must disclose all material circumstances which the Proposer knows or ought to know or, failing that, has given us, Beazley the Insurer, sufficient information to put a prudent insurer on notice that it needs to make further enquiries for the purpose of revealing those material circumstances.

A material circumstance is one which would influence a prudent insurer's judgement of the risk.

If the Proposer has any questions regarding the duty to make a fair presentation of the risk then please contact your broker for further information.

Declaration

It is declared that the Proposer has made a fair presentation of the risk. This declaration is signed by the director or officer who is responsible for arranging insurance on behalf of the Proposer.

Signature:

Position:

Date: / /

This proposal form should be signed no earlier than 30 days prior to inception of the policy.

Data protection notice

Beazley collects and uses information (including any personal data) provided by the Proposer in order to consider providing an insurance quote for the Proposer and any other entities or individuals intended to be insured.

If Beazley needs to process or obtain any special category data not provided by the Proposer within this proposal form then Beazley will seek consent from the Proposer before doing so. If the Proposer is providing any personal information on behalf of an individual, then the Proposer must inform that individual of this notice.

Beazley may share the information collected with a number of third parties such as agents, brokers, reinsurers, regulators and law enforcement agencies. Beazley will only disclose any personal information to the extent required or permitted by law.

Beazley's privacy notice is available [here](#)

If the Proposer or any individual wishes to contact Beazley regarding their data and rights then please contact DPO@Beazley.com